

Applicant or Patentee: Krasnov, et al.
Serial or Patent No.: N/A Docket No. FRNT.4 US
Filed or Issued: Herewith
For: THIN FILM BATTERY AND METHOD OF MANUFACTURE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 C.F.R. §§ 1.9 (f) and 1.27 (c)] - SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below

☒ an official of the small business concern empowered to act on behalf of the concern identified below

NAME OF CONCERN: FRONT EDGE TECHNOLOGY, INC.
ADDRESS OF CONCERN: 13455 Brooks Drive, Suite A
Baldwin Park, California 91706

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.3-18, and reproduced in 37 CFR § 1.9 (d), for purposes of paying reduced fees under §§ 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal years of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled THIN FILM BATTERY AND METHOD OF MANUFACTURE by inventor(s) Victor Krasnov and Kai-Wei Nieh described in

☒ the specification filed herewith
☐ application Serial No. _____, Filed _____
☐ Patent No. _____, Issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person other than the inventor, who could not qualify as a small business concern under 37 CFR § 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR § 1.9 (d) or a nonprofit organization under 37 CFR § 1.9 (e).

NOTE Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities [37 CFR § 1.27]

FULL NAME: _____
ADDRESS: _____
☐ Individual ☐ Small Business ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any

maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR § 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Kai Wei Nish

TITLE OF PERSON OTHER THAN OWNER President, Front Edge Technology

ADDRESS OF PERSON SIGNING 13455 Brooks Drive #A, Baldwin Park, CA 91706

DATE OF SIGNATURE 03/22/01 SIGNATURE

Kai Wei Nish

ATTORNEY DKT. NO. FRNT.4.US

COMBINED DECLARATION AND POWER OF ATTORNEY**As a below-named inventor, I hereby declare that:**

This declaration is of the following type:

- ☐ original
☐ divisional
☐ continuation
☒ continuation-in-part

INVENTORSHIP IDENTIFICATION

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION*"THIN FILM BATTERY AND METHOD OF MANUFACTURE"***SPECIFICATION IDENTIFICATION**

The specification of which:

- ☒ is attached hereto
☐ was filed on _____, under Serial No. _____, executed on even date herewith; or ☐ Express Mail No. _____ (as Serial No. not yet known) and was amended on _____ (if applicable)
☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ (if any).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56,

SCANNED, # 4

ATTORNEY DKT NO. FRNT.4.US

and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

- ☒ In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR § 1.98.

PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code § 119, of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America or of any United States Provisional Application(s) listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- ☒ No such applications have been filed.

- ☐ Such applications have been filed as follows:

- A. Prior foreign/PCT application(s) filed within 12 months (6 months for design) prior to this application, and any priority claims under 35 U.S.C. § 119:

| <u>Country/PCT</u> | <u>Application No.</u> | <u>Date Filed</u> | <u>Priority Claimed</u> |
|--------------------|------------------------|-------------------|-------------------------|
|--------------------|------------------------|-------------------|-------------------------|

☐ Yes ☐ No

- B. All foreign application(s), if any, filed more than 12 months (6 months for design) prior to this U.S. application:

Country:

Application No:

Filing date:

PRIORITY CLAIM (35 U.S.C. § 120)

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

SCANNED, # 4

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☒ No such applications have been filed.

☐ Such applications have been filed as follows:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Patented</u> | <u>Pending</u> | <u>Abandoned</u> |
|-------------------|--------------------|-----------------|----------------|------------------|
| (None) | | | | |

POWER OF ATTORNEY

I hereby appoint the following attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Ashok K. Janah

Registration No. 37,487

Send correspondence to:**Direct telephone calls to:**

Janah & Associates, Inc.
650 Delancey Street, Suite 106
San Francisco, CA 94107

Ashok K. Janah
(415) 538-1555

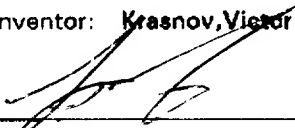
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ATTORNEY DKT. NO. FRNT.4 US

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first or sole inventor: Krasnov, Victor

Inventor's signature: 


Date: 03/21/01

Residence: Tarzana, CA

Post Office Address: 18701 Hatteras Street, #2
Tarzana, CA 91356
U.S.A.

Country of Citizenship: U S.A.

Full name of second inventor: Nieh, Kai-Wei

Inventor's signature: 

Date: 03/21/01

Residence: Monrovia, CA

Post Office Address: 640 Ranchito Road
Monrovia, CA 91016
U.S.A.

Country of Citizenship: U.S.A.

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